

Investigating the DC Performance of Ni/Au and Pt/Au Schottky Gate Contacts on GaN HEMTs for Ku-band Applications

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Abstract: This manuscript investigates the DC performance of Ni/Au and Pt/Au Schottky Gate contacts on 150 nm AlGaIn/GaN HEMTs for Ku band applications. Comparison in terms of the short channel effects (SCEs), in particularly the drain-induced barrier lowering (DIBL), demonstrate the long-term stability of Ni/Au Schottky contacts for mm-wave applications. To assess the reliability of the Schottky metal scheme, the fabricated devices were subjected to a high gate reverse bias step stress of -30 V with a step of -5 V. A higher degree of ON-state resistance (R_{ON}) degradation for the Pt/Au Schottky contact evinces remarkable performance of Ni/Au Schottky contacts for mm-Wave applications.

Keywords: AlGaIn/GaN HEMT, Barrier Height, Inhomogeneities, Threshold Voltage Shift, OFF – State Stress, Reliability

1. Introduction: The unique intrinsic properties of III – Nitrides, in particularly, the GaN have captured the semiconductor market for future mm – Wave applications [1]. The ability to exhibit high carrier mobilities along with high saturation velocities, make GaN based devices suitable for mm – Wave applications [2]. The apparent high performance of GaN based devices as claimed [3][4], essentially depend upon various factors. These include the quality of the epi – layer stack [5][6], along with the quality and the metal scheme implemented for the Ohmic and Schottky contacts [7][8]. The epi – layer engineering is focused towards minimizing grain boundaries and epitaxial defects for boosting the RF performance [6][9]. On the other hand, Ohmic metal engineering is specifically focused towards the reduction of contact resistance for compatibility towards high – speed operation [7][10], as opposed to the Schottky contact engineering which has a specific target towards achieving a lower gate

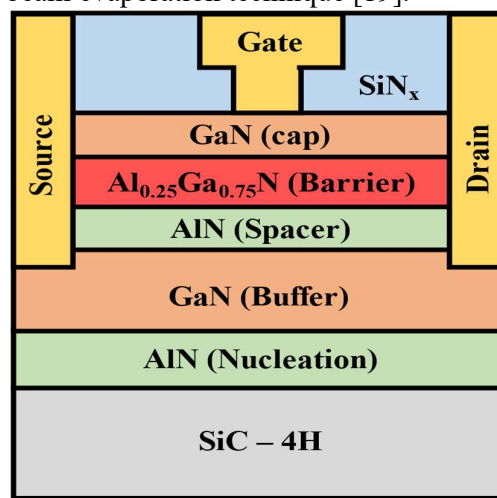
leakage current all the while ensuring good adhesion towards the underlying epi – layer stack [8][11]. Commonly used metals include Ti [12], Pt [13], Ni [12], as possible candidates among the traditional metal stacks to improve the Schottky parameters such as the barrier height (Φ), ideality factor (η), thermal stability, and gate leakage current in an effort to improve the device reliability for mm – Wave applications. A Pt - based contact, for instance, as reported exhibits a higher Schottky barrier height [14][15] compared to the standard choice employing Ni - based contacts [16-18], and in essence would benefit power devices for mm – Wave operation specifically with short gate lengths as a courtesy of reducing the gate leakage current. As such, the demand for high frequency applications propels aggressive scaling of the GaN HEMTs in an attempt to target high frequency applications. This, however, is coupled with short channel effects (SCEs) due to which, a shorter gate loses its control over the 2D electron gas (2DEG)

channel. In this regard, to ascertain long - term reliability of GaN based devices, good quality Schottky contacts are required, which is the basis of this work.

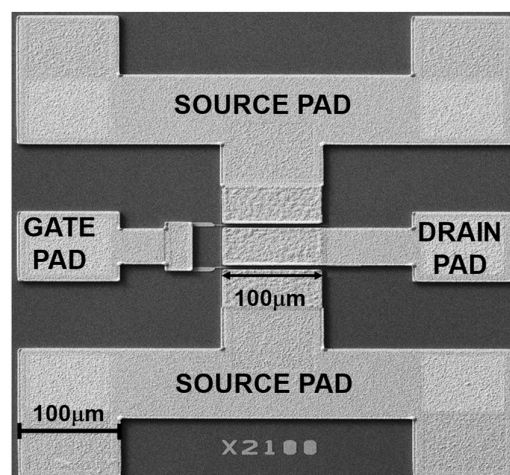
This manuscript focuses on the reliability of Gate contacts for mm – Wave GaN HEMT devices sporting a gate length (L_G) of 150 nm. In this regard, two Schottky metal schemes based on Ni/Au and Pt/Au are considered, and the Gate contacts of the fabricated devices are subjected to a high field reverse bias step stress in an attempt to deliberately push the devices towards high gate leakage region. The manuscript is structured as follows. Section 2 gives a brief description about the fabrication aspects of the GaN HEMT devices considered in this work, while the reliability-based studies considering the two metal schemes are compiled in Section 3. Finally, the findings of this study are summarized in Section 4.

2. Experimental Methodology: The epi-layer structure of the Metal – Organic Chemical Vapor Deposition (MOCVD) based AlGaIn/GaN HEMTs fabricated in this work is shown in Fig. 1(a). Starting from the SiC substrate, a 100 nm AlN nucleation layer is grown to reduce the lattice mismatch. Post the AlN growth, a 2.2 μm thick GaN buffer is grown which supports a 22 nm AlGaIn barrier with an Aluminium concentration of 25%. The SEM micrograph depicting the Gate, Source, and Drain pad is shown in Fig. 1(b). Characterizing the epi – layer stack through hall measurements, reveal a 2DEG concentration of $1.1 \times 10^{13} \text{ cm}^{-2}$ and a carrier mobility of $1910 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$. The experimental processing steps are detailed in author's previous works [7][8]. A standard Ohmic metal scheme based on Ti, Al, Ni and Au is employed which is treated in rapid thermal annealing (RTA) in N_2 Ambient at 820°C for 60 sec, for subsequent alloying. Passivation of the device is done by SiN_x using the plasma-enhanced chemical vapour deposition technique (PECVD) and gate slit opening. This is followed up by the Gate metal deposition with metal schemes Ni/Au (left - half) and Pt/Au (right - half) of 3" wafer (as shown in

Fig. 2) with gate length, $L_G = 150 \text{ nm}$, using the e-beam evaporation technique [19].



(a)



(b)

Figure 1. (a) Schematic representation, and (b) SEM Micrograph of the fabricated device having a Gate Length of 150 nm.

The source-drain terminals are $4 \mu\text{m}$ apart with a central gate placement. The DC – IV characterization of the fabricated devices was carried out using Keysight's Parametric Analyzer B1500A, revealing a high current density (I_{DS}) of 1.05 A/mm and a transconductance (g_m) of 250 mS/mm . The threshold voltage (V_{TH}) of the device as extracted from the transfer characteristics is -5.8 V , and the ON – Resistance as extracted from the output characteristics is $3.3 \Omega\cdot\text{mm}$.

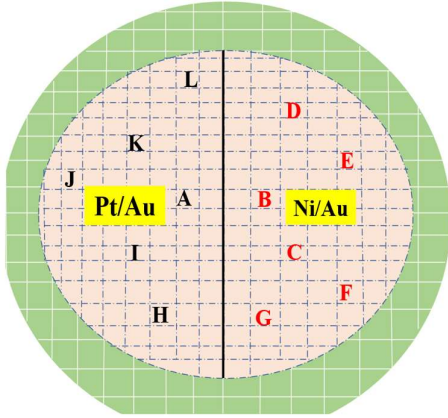


Figure 2. Schematic representation of 3-inch wafer with both (Pt/Au and Ni/Au) metal schemes.

To check the uniformity of the fabricated devices, six devices were characterized on each side of the wafer as shown in Fig. 2. The resulting DC characteristics of these devices are summarized in Table 1. It is observed that the maximum drain current (I_{DS}), peak transconductance (g_m), and the pinch – off voltage (V_{TH}) measured across 6 locations on each side of the wafer have a lower degree of deviation from their mean values, indicating a higher degree of uniformity throughout the wafer.

Table 1. List of parameters of measured devices at different positions with both the metal schemes

Location	Parameters		
	I_{DS} (A/mm)	g_m (mS/mm)	V_{TH} (V)
A	1.050	250	-5.80
I	1.045	248	-5.82
H	1.040	245	-5.82
J	1.044	248	-5.85
K	1.048	246	-5.80
L	1.042	244	-5.83
B	1.035	245	-5.80
C	1.032	243	-5.85
D	1.031	240	-5.80
E	1.028	241	-5.95
F	1.035	242	-5.80
G	1.030	238	-5.82

3. Results & Discussions

This section compares the Ni/Au and Pt/Au Schottky metal schemes in terms of two and three terminal characteristics followed by the reliability assessment as a means of validating and testing the fabricated AlGaIn/GaN HEMT devices for mm-Wave applications.

3.1. Two terminal diode measurements

Forward and reverse characteristics of the Schottky diode of metal Ni/Au and Pt/Au are shown in Fig. 3. The Schottky parameters (Φ_B and η) of the two metal schemes were extracted (compiled in Table 2) using the thermionic emission (TE) [20] model at room temperature ($T = 27^\circ\text{C}$) by employing the standard diode Equation (1) and Equation (2):

$$I = I_s \left[\exp \left(\frac{qV - IR_D}{\eta kT} \right) - 1 \right] \quad (1)$$

$$I_s = AA^* T^2 \exp \left(-q \Phi_B / kT \right) \quad (2)$$

Where, q : carrier charge,
 k : Boltzmann's constant,
 T : Temperature,
 Φ_B : Schottky barrier height,
 η : ideality factor,
 A : diode area,
 IR_D : voltage drop across the diode,
 I_s : reverse saturation current, and
 A^* : Richardson constant for AlGaIn

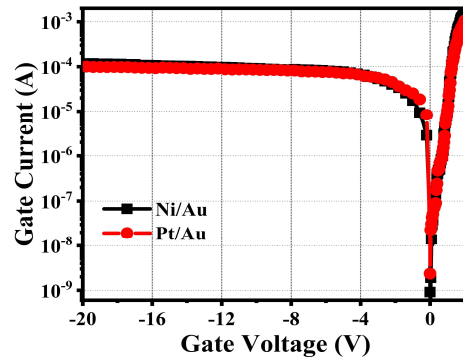


Figure 3. Two terminals forward and reverse characteristics for Ni/Au and Pt/Au Schottky metal schemes.

Table 2. Comparison of Schottky Parameters for the two metal schemes.

Schottky Metal	Parameters	
	Φ_B (eV)	η
Ni/Au	0.562	1.28
Pt/Au	0.635	1.25

As shown in Table 2, Pt/Au metal has a higher barrier height and lower ideality factor compared to Ni/Au. This is due to the high work function of Pt metal [21, 22]. From Fig. 3, Pt/Au metal scheme has a lower leakage current compared to Ni/Au. This is due to metal-semiconductor surface inhomogeneities

and the higher metal work function of Pt metal [23-25].

3.2. Three terminal device measurements

Output Characteristics of $L_G = 150$ nm, $W_G = 6 \times 100$ μm AlGaIn GaN HEMT are shown Fig. 4 (a) and (b). The maximum drain current is 1.050 A/mm and 1.035 A/mm and transconductance is 250 mS/mm and, 245 mS/mm with Pt/Au and Ni/Au metal schemes, respectively.

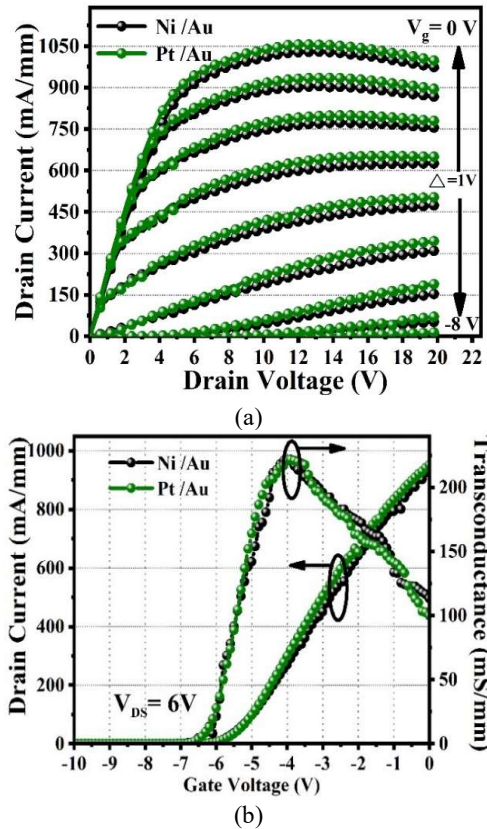


Figure 4. Impact of Ni/Au and Pt/Au Schottky metal schemes on (a) Output, and (b) Transfer characteristics of AlGaIn/GaN HEMTs.

When the gate length is small in comparison to the barrier layer, aspect ratio (L_G/t_{Barrier}) ≤ 15 , Short channel effects play a very important role [26, 27]. Here, with $L_G = 150$ nm and a barrier with 25 nm, the aspect ratio is 6. At higher drain to source voltage, V_{DS} (>10 V), the confinement of the charge carrier becomes poor [27]. As shown in Fig. 5, with the increase in V_{DS} , a strong V_{TH} shift is observed. To pinch off the channel, the gate depletion region needs to be extended which results in a shift in V_{TH} .

In shorter gate length devices, as V_{DS} increases, a high electric field drifts the charge carriers and the gate loses the control of flow of charge carriers and this phenomenon is known as drain-induced barrier lowering (DIBL) [24-27].

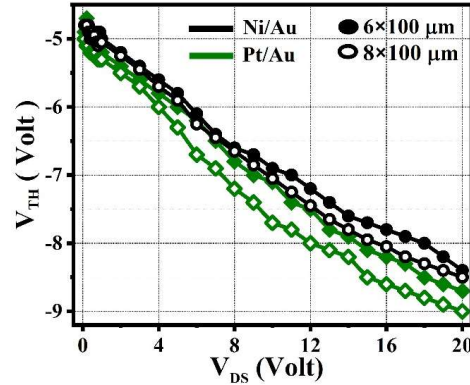


Figure 5. Spread of V_{TH} extracted at 1 mA/mm as a function of drain bias (V_{DS}) for the two Schottky metal schemes for large periphery GaN HEMT devices.

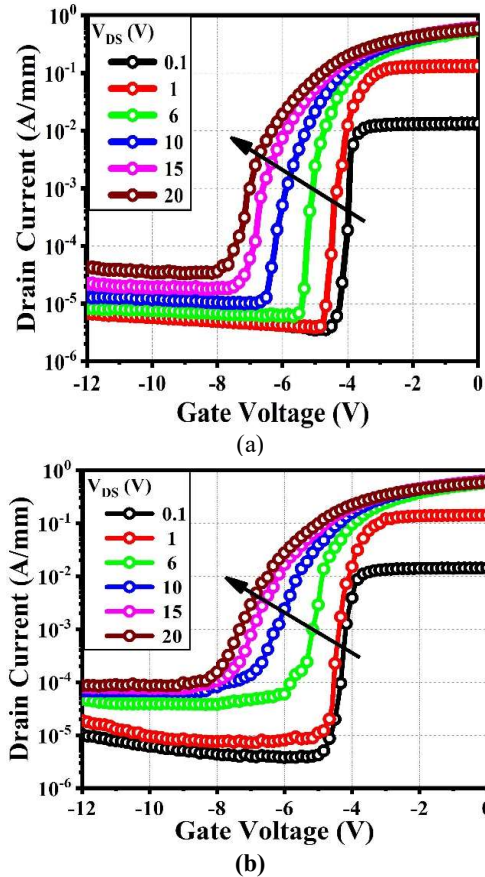


Figure 6. Transfer characteristics of 6×100 μm AlGaIn/GaN HEMT at different V_{DS} (0.1, 1, 6, 10, 15 and 20 V) with $L_G=150$ nm (V_{GS} swept from -12 to 0).

V), for (a) Ni/Au, and (b) Pt/Au Schottky Gate contacts.

Figures 6 (a) and (b) show that Pt/Au has a higher V_{TH} compared to Ni/Au. This may be due to Metal - Semiconductor interface inhomogeneities [21, 22]. This shows that, in high voltage applications, the device with Pt/Au-based Schottky contact, shows a degradation in the device performance against the Ni/Au-based contact.

3.3. RF characterization

The device current gain($|H_{21}|$) of both the metal schemes is shown in Fig. 7. The device with Ni/Au and Pt/Au metal schemes has cutoff frequencies (F_T) of 38 GHz and 36 GHz, respectively. The maximum unilateral gain (MUG) indicates the maximum oscillating frequency (F_{MAX}) of the device, which is 60 GHz for the Ni/Au and 54 GHz for the Pt/Au scheme. Accordingly, the Ni/Au based metal scheme points towards achieving a better RF performance owing to its lower gate resistance compared to the Pt/Au metal scheme.

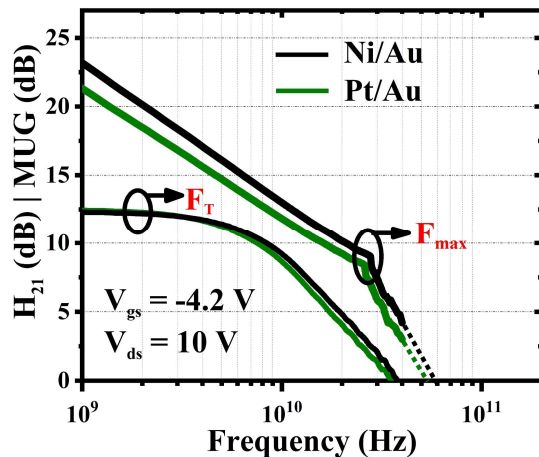


Figure 7. RF characteristics of the devices with Ni/Au and Pt/Au metal schemes.

3.4. Reverse bias step stress measurement

In the step stress measurement method, stress is applied to the gate terminal over some time, and gate current is observed. In this experiment, the V_{GS} is stepped up by -5V, and the gate current is observed for 120 seconds. The results of high reverse bias gate voltage step stress are shown in Fig. 8 (a) and (b). The findings suggest that leakage remains recoverable up to a gate voltage of -20V,

attributed to the trapping of electrons beneath the gate region. Above -20V, the gate current becomes noisy and undergoes a significant increase, signaling degradation in device performance in both the metal scheme Ni/Au and Pt/Au as shown in Fig. 8(a). Gate voltage exceeding 25V (absolute value) shows irreversible degradation.

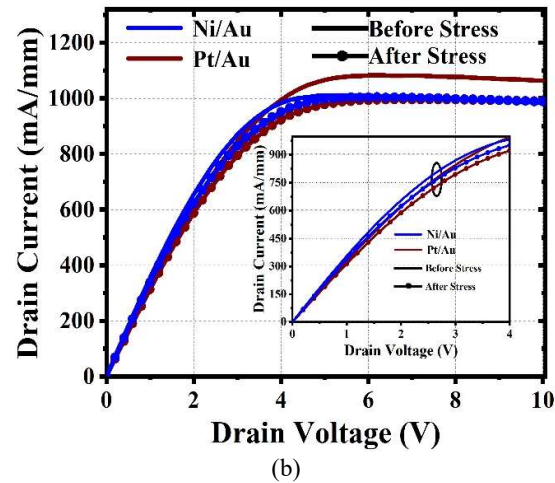
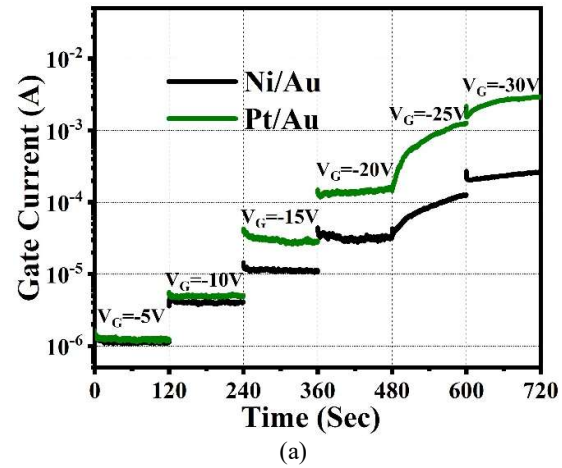


Figure 8. Reverse bias step stress scheme depicting (a) evolution of Gate current as a function of transient time, and (b) impact on R_{ON} calculated post high field stress.

As high voltage is applied to the gate terminal, the vertical electric field in the device increases which induces paths for current and rise in the gate current is observed [30-33]. Also, a high electric field deteriorates the crystallography of the barrier layer and induces an inverse piezoelectric phenomenon. However, due to M-S interface inhomogeneities, Pt/Au shows a higher leakage current rise compared to Ni/Au.

Also, Zanoni *et al.* [32] reported through electroluminescence (EL) analysis that hot spot formation at the gate edge under high reverse bias contributes to the rise in leakage current. DC characterization is carried out before and after each step of stress. To observe the current collapse phenomenon, or more appropriately an increase in the On-resistance (R_{ON}) of the device. Fig. 8(b) shows the increase in the R_{ON} after stress in both cases. Table 3, summarizes the change in the parameter after stress.

Table 3. Change in device parameters before and post high field electrical stress.

Parameters		Metal Scheme	
		Ni/Au	Pt/Au
I_{DS} (mA/mm)	Before Stress	1000	1060
	After Stress	980	980
	Change (%)	2	8
R_{ON} (Ω -mm)	Before Stress	3.65	3.62
	After Stress	4.50	5.0
	Change (%)	23.28	38.12

A significant change (in %) with Pt/Au-based contact is observed compared to Ni/Au post-high voltage reverse bias step stress. Chakraborty *et al.* [34] have also reported that Pt/Au-based Schottky gate contact tends to degrade with temperature and electrical stress compared to Ni/Au-based contacts.

4. Conclusions

Ni/Au and Pt/Au-based Schottky Gate contacts were realized on epi-layer stack consisting of AlGaIn/GaN HEMTs for targeting mm-Wave applications. While the initial comparison based on Schottky parameters points towards Pt/Au to be the preferred choice for Gate contacts due to comparatively higher barrier height and lower gate leakage current, the Ni/Au-based contacts as investigated exhibit better reliability when subjected to a high field stress. A reverse bias stress subjected to two metal schemes reveals a degradation of 8% and ~38% in I_{DSS} and R_{ON} , respectively in the case of Pt/Au contacts against the 2% and ~24% degradation recorded in Ni/Au contacts for the I_{DSS} and R_{ON} , respectively. In addition to these, due to the improvement in barrier inhomogeneities

with the Ni/Au-based contact, the GaN HEMT with Ni-based contacts demonstrate a smaller spread in V_{TH} with applied drain bias, pointing towards a better suppression of the SCEs compared to the Pt-based contacts. These results point towards the remarkable performance of Ni/Au-based Schottky metal contacts for Ku-band applications.

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Credit Author Statement

Chanchal: Conceptualization, Methodology, Visualization, Data analysis and interpretation, Investigation and Writing – Original Draft.

Khushwant Sehra: Methodology, Writing-Reviewing and Editing, Proofreading.

Amit Malik: Visualization, Supervision, Technical Inputs.

Robert Laishram: Technical Inputs and Proofreading.

Dipendra Singh Rawal: Supervision, Writing- Reviewing and Editing, Validation, Technical Inputs and Resources.

Manoj Saxena: Supervision, Proofreading, Writing- Reviewing and Editing, Technical Inputs.

Conflict of Interest

Authors declare No conflicts of interest.

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