

# Performance of the AlGaIn/GaN HEMT with Sunken Source Connected Field Plate under High Voltage Reverse Bias Step Stress

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**Abstract:** The manuscript investigates the DC performance of conventional HEMT and Sunken Source Connected Field Plate (SSC-FP) HEMT reliability under reverse bias step stress. To assess the electrical performance of the device at the gate terminal is subjected to a high reverse bias step stress up to  $-40$  V with an increase of  $-5$  V step. A higher degree of ON-state resistance ( $R_{ON}$ ) degradation is observed in the conventional HEMT than in the SSC-FP HEMT device. Post-stress drain to source current ( $I_{ds}$ ) degradation is  $\sim 11\%$  and  $\sim 6\%$  in non-FP and with SSC-FP devices respectively. In conventional devices when gate voltage ( $V_{GS}$ ) is up to  $-20$ V, the device leakage current is recoverable but after that, the gate current increases exponentially and becomes noisy. In SSC-FP devices, this behavior is shown after gate voltage  $-30$ V.

**Keywords:** AlGaIn/GaN HEMT, Threshold Voltage Shift, OFF-State Stress, Reliability.

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**Introduction:** The birth of AlGaIn/GaN High Electron Mobility Transistors (HEMTs) represent a significant breakthrough in the field of semiconductor technology, particularly for applications requiring high power, high frequency, and high-temperature operation [1-4]. These devices exploit the unique properties of Gallium Nitride (GaN), a wide-bandgap semiconductor, and the AlGaIn/GaN heterojunction to achieve superior performance compared to traditional silicon-based transistors [5, 6]. GaN's wide bandgap of  $3.4$  eV allows for higher breakdown voltages, greater power density, and improved thermal stability, making it an ideal choice for demanding environments such as radar systems, satellite communications, and power electronics [7, 8]. New applications in 5G communication infrastructure, electric vehicles, and renewable energy systems are emerging as key areas where these devices could drive transformative technological advancements [9-12]. Moreover, the integration of AlGaIn/GaN HEMTs with other

semiconductor technologies, such as silicon carbide (SiC) and diamond, holds promise for further enhancing the power handling capacity and efficiency of these devices [10].

The structure of AlGaIn/GaN HEMTs is particularly noteworthy for its ability to generate a high-density two-dimensional electron gas (2DEG) at the heterojunction interface [11]. This 2DEG, formed without doping, enables extremely high electron mobility, leading to low on-resistance and fast switching speeds. As a result, AlGaIn/GaN HEMTs have rapidly gained traction in the defense, telecommunications, and automotive industries, where performance and efficiency are paramount [12-14].

Looking toward the future, ongoing research into AlGaIn/GaN HEMT devices is expected to unlock even more advanced capabilities. Efforts are focused on improving device reliability, thermal management, and scalability for mass production. However, improving their reliability under high operating voltage conditions remain a critical challenge. The introduction of a Sunken

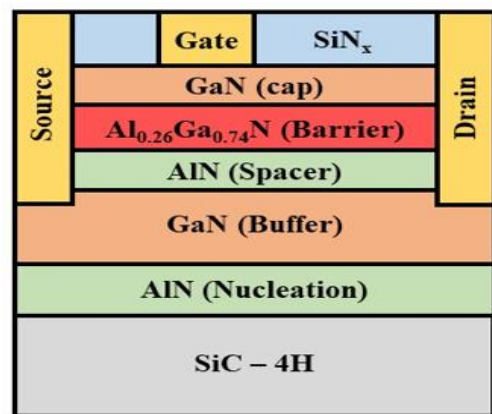
Source Connected Field Plate (SSC-FP) in AlGa<sub>N</sub>/Ga<sub>N</sub> HEMTs has proven to be an effective solution that enhances device performance and reliability [13, 14]. This design has a metal plate parallel to the gate terminal and is connected to the source through the mesa region, significantly reduces the parasitic capacitances ( $C_{gs}$  and  $C_{gd}$ ) compared to other field plate technology. SSC-FP suppresses electric field peaks at the gate edge towards the drain terminal, and improves the breakdown voltage, which is crucial for high-power applications such as X-band radar systems and power amplifiers in satellite communications [10-12]. The future research will likely focus on further optimizing this structure for better thermal management and scalability, ensuring that AlGa<sub>N</sub>/Ga<sub>N</sub> HEMTs remain a keystone of high-power electronics.

A study by Bothe *et al.*, demonstrated that HEMTs with an SSC-FP exhibit over 10 W/mm saturated output power and superior reliability, with an estimated lifetime exceeding  $6 \times 10^7$  hours at 225°C, a more than two-fold increase over conventional designs [14]. Also, Zhu *et al.* reported that FP helps mitigate the detrimental effects of the inverse piezoelectric effect and trapping phenomena, which are often exacerbated under reverse-bias stress conditions [15]. This work investigates the performance of non-FP and SSC-FP HEMT devices under high reverse bias step stress.

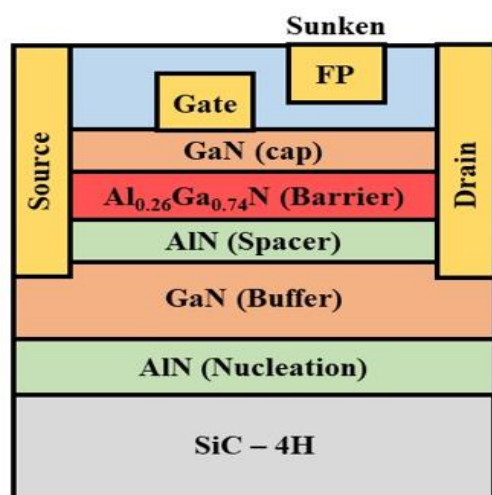
The article is structured as follows: Section 2 presents the details of the device structure and fabrication process. Section 3 compiles the DC IV measurements and reliability studies and summarizes them with extracted parameters. Finally, the manuscript concluded in Section 4.

**2. Experimental Methodology:** AlGa<sub>N</sub>/Ga<sub>N</sub> HEMTs were grown on SiC substrates using metal-organic chemical vapor deposition technique, with 25nm thick Al<sub>0.25</sub>Ga<sub>0.75</sub>N on a 2µm semi-insulating Ga<sub>N</sub> buffer. Standard Ti/Al/Ni/Au source/drain ohmic contact metallization is done using the e-beam evaporation technique and annealed at 820 °C

for 30 sec. Device isolation is carried out using the nitrogen implantation process. T-shaped Ni/Au gate contact were fabricated using slit etching. Devices were passivated using 100nm SiN<sub>x</sub> deposited by plasma-enhanced CVD [13]. HEMTs with gate length, source-drain spacing, gate-drain spacing, and gate width of 0.4, 6, 4.6, and 100 µm, respectively. Schematic of the non-FP and with SSC-FP is shown in Fig.1 (a & b). The process flow of the fabrication of the devices is shown in Fig. 2. The measurement procedure is shown in Fig. 3, the fabricated devices were subjected to OFF state step stress at room temperature. Electrical measurements were carried out using Semiconductor Parametric Analyzer SCS-4200A.



(a)



(b)

**Figure 1. (a) and, (b) Schematic representations of the conventional (non-FP) and the SSC-FP HEMT device, respectively.**

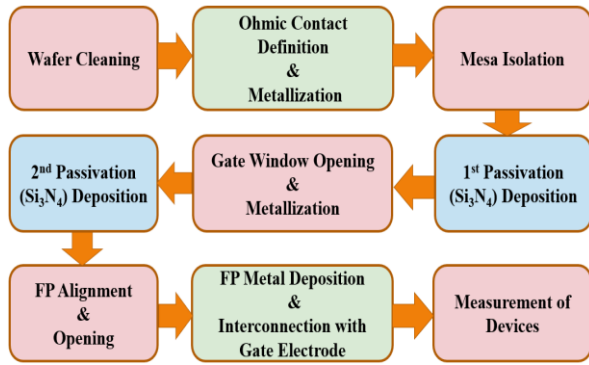


Figure 2. Flow chart of the fabrication of AlGaIn/GaN HEMT devices.

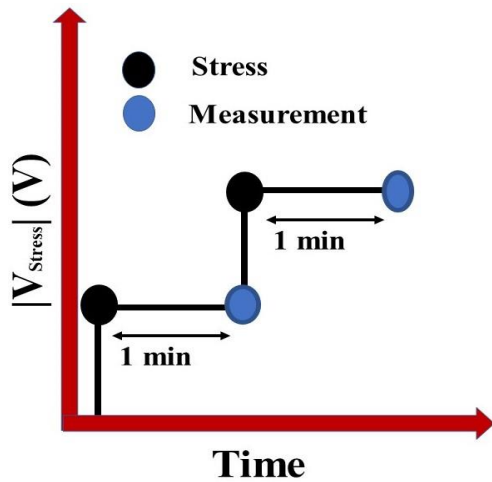


Figure 3. Shows the measurement procedure of the device.

As shown in Fig. 3, device pre-measurement is carried out and then the gate reverse bias is increased with the step of -5V. Devices were subjected to 60 second stress cycles, and electrical measurements were taken after each stage.

### 3. Results & Discussions:

**3.1 Two Terminal Schottky Diode measurements:** Forward and reverse Characteristics of the Schottky is carried out, as shown in Fig. 4 (a & b). The  $\Phi_B$  and  $\eta$  are calculated using the thermionic emission (TE) model by using the standard diode equations (1) and (2):

$$I = I_s \left[ \exp\left(\frac{qV - IR_D}{\eta kT}\right) - 1 \right] \quad (1)$$

$$I_s = AA^*T^2 \exp(-q \Phi_B / kT) \quad (2)$$

where,

$q$ = carrier charge,

$k$ = Boltzmann's constant,

$T$ = Temperature,

$\Phi_B$ = Schottky barrier height

$\eta$  = Ideality factor,

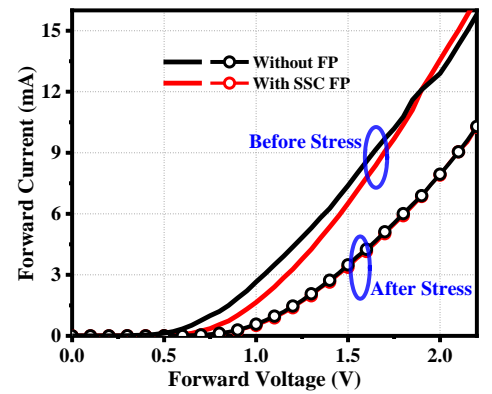
$A$ = Diode area,

$I_s$ = Reverse saturation current

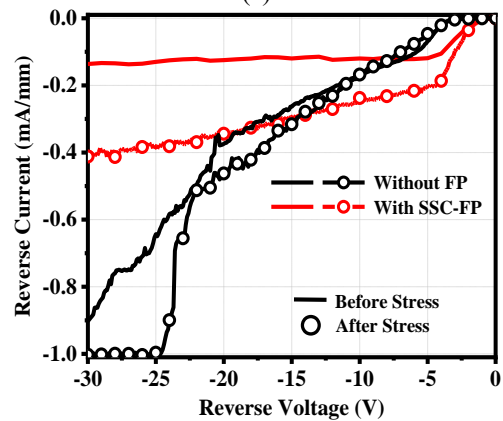
$IR_D$  = Voltage drop across the diode, and

$A^*$ = Richardson constant for AlGaIn.

Table 1 summarizes the extracted parameters before and after stress.



(a)



(b)

Figure 4. Comparison of (a) Forward, and (b) Reverse two terminal Gate characteristics of Non-FP and SSC-FP HEMT devices after step stress ( $V_g = -40V$ ).

Table 1 Comparison of two terminal (Schottky Parameters) of the Non-FP and SSC-FP HEMT devices.

Device	Diode Parameters			
	Before stress		After stress	
	$\Phi_B$ (eV)	$\eta$	$\Phi_B$ (eV)	$\eta$
Without FP	0.910	1.15	0.856	1.56
With SSC-FP	0.905	1.16	0.867	1.47

As Fig. 4 shows the degradation in the Schottky parameters after step stress. The continuous stress at the gate (Ni/Au) leads to Nickel diffusion and corresponds to the degradation of the gate edge [17].

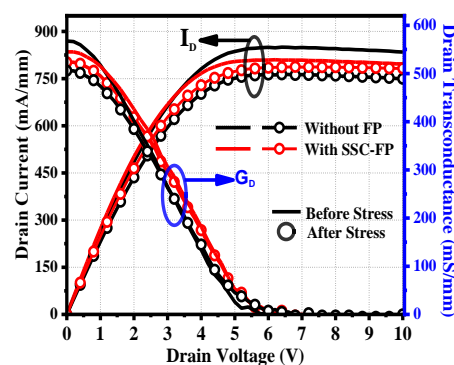
### 3.2 Three Terminal Device Measurements:

#### • Reverse Bias Step Stress at the Gate Terminal:

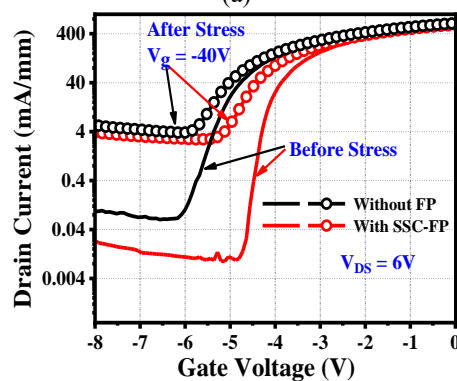
In the step stress measurement technique, stress is applied incrementally overtime at the gate terminal. In this experiment, the  $V_{GS}$  was stepped by -5V. The device's leakage current was measured for 60 seconds at each step stress. The results of high reverse bias gate voltage step stress are shown in Fig. 5. The findings suggest that leakage remains recoverable up to a gate voltage of -20V and -30V, in conventional and SSC-FP devices respectively, which attributed to the trapping of electrons beneath the gate region. In non-FP devices at  $V_{gs} = -20V$ , the gate current becomes noisy and undergoes a significant increase, signaling the degradation in device performance while in the SSC-FP device, it is observed after  $V_{gs} = -30V$ .

As high voltage is applied to the gate terminal, the vertical electric field in the device increases which induces leakage paths in silicon nitride and AlGaN barrier which causes a rise in the gate current [17, 18]. Also, it is described that a high electric field deteriorates the original lattice parameters of the barrier layer and induces an inverse piezoelectric phenomenon [16]. Also, Zanoni *et al.* [18] reported through electroluminescence (EL) studies that hot-spot formation at the gate edge under high reverse bias contributes to the rise in leakage current. DC characterization is carried out before and after each step of stress to observe the current collapse phenomenon, which manifests in the form of an increase in the dynamic resistance ( $R_{ON}$ ) of the device. Fig. 5 (a & b) shows the increase in the  $R_{ON}$ ,  $V_{TH}$  shift, and increase in off-state leakage current post-stress in both non-FP and FP devices. Post stress a reduction in drain transconductance ( $g_D$ ) and gate

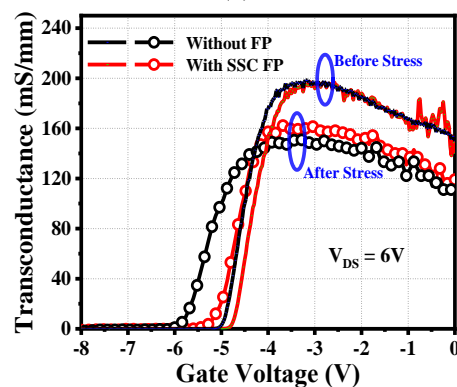
transconductance ( $g_M$ ) is also observed as shown in Fig. 5 (a) and Fig. 5 (c) respectively. Degradation in gate contact and defects generation can be observed with the increase in gate leakage current which is shown in Fig. 5(d) with time. Table 2, explains the change in the parameters post-step stress.



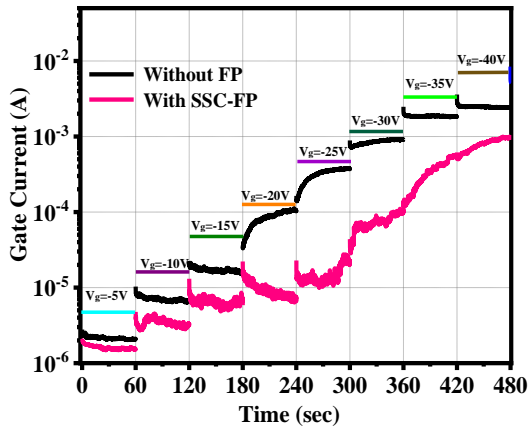
(a)



(b)



(c)



(d)  
**Figure 5.** Post reverse bias step stress scheme, (a) Output characteristics, (b) & (c) shows transfer characteristics, and (d) gate current as a function of transient time.

Table 2 summarizes the change in device parameters before and post-stress.

Parameters		Device	
		Without FP	With SSC-FP
I <sub>DS</sub> (mA/mm)	Before Stress	850	830
	After Stress	760	778
	Δ (%)	10.6	6.3
R <sub>ON</sub> (Ω·mm)	Before Stress	4.56	4.55
	After Stress	6.25	5.02
	Δ (%)	37.0	10.3
V <sub>TH</sub> (V)	Before Stress	-4.98	-4.85
	After Stress	-5.82	-5.25
	Δ (%)	16.8	8.24
G <sub>m</sub>	Before Stress	190	190
	After Stress	148	160
	Δ (%)	22.1	15.8

Here, delta (Δ) represents the change in the parameters. A remarkable degradation is observed in the conventional HEMT as compared to the SSC-FP HEMT devices.

**4. Conclusions:** A comparative study of conventional HEMT and SSC-FP HEMT devices is studied. Post reverse bias step stress, the electrical performance of the device is investigated. Conventional devices are more vulnerable to degradation under high electric fields compared to SSC-FP HEMT devices. The SSC-FP device reduces the peak of the vertical electric field which prevents the degradation of the device parameters like threshold voltage (V<sub>TH</sub>) shift and R<sub>ON</sub>. Post reverse bias step stress up to -40V, the degradation of ~11% and 37% in I<sub>DS</sub> and R<sub>ON</sub>, respectively in the case of the conventional device against the ~6% and ~10% degradation recorded in the SSC-FP HEMT device the I<sub>DS</sub> and R<sub>ON</sub>, respectively. The SSC-FP design introduces several reliability benefits under high reverse-bias step stress. The reduction in the electric field intensity near the gate edge leads to a lower likelihood of premature device failure, which is particularly critical when operating at high drain-source voltages.

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**CRedit Author Statement**

**Chanchal:** Conceptualization, Methodology, Visualization, Data analysis and interpretation, Investigation and Writing – Original Draft.

**Amit Malik:** Visualization, Reviewing and Editing Supervision, Technical Inputs.

**Robert Laishram:** Technical Inputs and Proofreading.

**Dipendra Singh Rawal:** Supervision, Writing- Reviewing and Editing, Validation, Technical Inputs and Resources.

**Manoj Saxena:** Supervision, Proofreading, Writing- Reviewing and Editing, Technical Inputs.

**Conflict of Interest:** Authors declare No conflicts of interest.

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